

BONANZA PORTFOLIO LIMITED

Policy for voluntary freezing of the online access of Trading account of Client

Version 1- July 2024

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1. Introduction

This policy outlines the procedures for voluntary freezing of trading accounts for clients of Bonanza Portfolio Limited (hereinafter referred to as BPL) in accordance with SEBI & Exchange Circulars.

2. Purpose

This policy aims to guide BPL clients on the process, modes, timelines, and other details for facilitating the voluntary freezing of their trading accounts upon noticing any suspicious activity.

3. Scope and Applicability

This policy is applicable to all BPL clients who wish to voluntarily freeze their online trading accounts.

This process is only meant for freezing of only trading account. Freezing of account does not mean closure of account. There is separate process for closing of account

4. Review

This policy is part of BPL's Risk Management Policy and shall be reviewed annually by the Board of Directors or earlier if necessary to ensure compliance with regulatory changes.

5. Procedure to Freeze Online Trading Account

Using the Web/App:

- Log in to the Bonanza Wave (mobile/ web link:- <https://bonanza.bigul.co/freeze-account>), &/or, go to the web page of BPL, and click on the option:- Mode of freezing/blocking.
- Enter Trading Code to Freeze.
- Follow the on-screen instructions.
- Enter the OTP received via SMS and email for verification.
- Upon entering the correct OTP, the account will be frozen.

E-mail to dedicated email Id:-

- Client may send his/her request for voluntary freezing/blocking the online access of trading account at the dedicated email Id:- stoptrade@bonanzaonline.com, from his/her registered email Id.

6. Procedure to Unfreeze Online Trading Account

- Clients can send request to unfreeze/unblock his/her trading account at the dedicated email Id: - stoptrade@bonanzaonline.com, from his/her registered email Id.
- After necessary due diligence, the account will be unfrozen within approximately one hour subject to necessary validations.
- After the account has been unfrozen, the client can resume trading.
- Clients will be allowed to trade through both online and offline modes, i.e. call and trade or through AP Terminal.

7. Important Points to Note:

- It is suggested that the client closes all his open positions before giving the request for freezing/blocking the account.
- Client's account will be blocked, within 15 minutes of receiving the freeze request.
- Confirmation of the freeze of the account will be sent via email.
- All pending orders, whether placed online or offline by the client, will be cancelled by the system and trading access will be blocked.
- Clients will receive details of any open positions along with contract expiry information within an hour of freezing the account.
- Upon freezing, the client will be logged out of the app but can log in for exploratory purposes without the ability to place trades.
- Fund addition and withdrawal will be allowed, but profile modifications will not be permitted.
- It is recommended to change the login PIN immediately after freezing the account.
- Clients with open positions, if any, can e-mail at stoptrade@bonanzaonline.com to close them, from his/her registered email Id.
- To unfreeze the account, clients can email at stoptrade@bonanzaonline.com, from his/her registered email id.
- In case client MTM reached to the level of 80% or above of the available collaterals, all the open positions will be auto squared off as per risk management policy of BPL.
- In case of margin shortage, client position will be auto squared off to the extent of margin shortage.
- If client's account has a negative balance, their investments will be sold to cover the amount to the extent of their debit balance..

8. Clarifications :

It is clarified that-

- a. Freezing/blocking is only for the online access to the client's trading account, and there shall be no restrictions on the Risk Management activities of Bonanza Portfolio Limited.
- b. The request for freezing/ blocking does not constitute request for marking client Unique Client Code (UCC) as inactive in the Exchange records.

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c. Client will be able to access the account in frozen state, although trading or profile modification options will be blocked

d. Freeze Request once submitted cannot be cancelled. Although the client can send email at dedicated email Id:- stoptrade@bonanzaonline.com and place a request for unfreeze.

9. Circular references:

- SEBI/HO/MIRSD/POD-1/P/CIR/2024/4 dated January 12, 2024
- NSE/INSP/61529 dated April 08, 2024
- BSE notice 20240408-12 dated 08 Apr 2024
- MCX/INSP/218/2024 dated April 09, 2024
- NCDEX/COMPLIANCE-025/2024 dated April 09, 2024