**Annexure – 2**

**Undertaking**

*(on the letterhead of the Authorised partnership firm)*

We, M/s. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ *(name of the applicant authorised person)* had applied for appointment as Authorised Person of the Exchange on

*(Tick the segment (s) applied for)*

* Capital Market
* Futures & Options
* Currency Derivatives
* Commodity Derivatives

segment (s) through trading member, M/s. \_\_\_\_\_\_\_\_\_\_\_ *(name of the trading member)*. In this regard, we hereby confirm/undertake that:

1. neither we nor our partners have been convicted for any offence in the past and presently not under trial for any offence involving fraud and dishonesty.
2. we will deal with investors on behalf of Trading Member only and that we would not engage in any activities with investors which could result in unauthorised intermediations.
3. Neither we nor our partners are Authorised Person of any other member of the Stock Exchange nor have applied for appointment as Authorised Person with any other member of the Stock Exchange.
4. we are not defaulter/expelled on any stock exchange.
5. we are “fit and proper” person under SEBI (Intermediaries) Regulations, 2008 and no action has been taken against us by SEBI, RBI, etc. and we have not defaulted in payment to any agency.

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_

Place: \_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**\* Name, Signature & Seal**

\*Should be signed by Partner of the Authorised Person.