**Annexure - 2**

**Undertaking**

(on the letterhead of the Authorised person)

I Mr./Ms. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ *(name of the applicant authorised person)* had applied for appointment as Authorised Person of the Stock Exchange on

*(Tick the segment (s) applied for)*

* Capital Market
* Futures & Options
* Currency Derivatives
* Commodity Derivatives

segment (s) through trading member, Mr. / Ms./ M/s. \_\_\_\_\_\_\_\_\_\_\_ *(name of the trading member)*. In this regard, I hereby confirm/undertake that:

1. I have not been convicted for any offence in the past and presently and not under trial for any offence involving fraud and dishonesty.
2. I will deal with investors on behalf of Trading Member only and that I would not engage in any activities with investors which could result in unauthorised intermediations.
3. I am neither an Authorised Person of any other member of the stock exchange and nor has/have applied for appointment as Authorised Person with any other member of the stock exchange.
4. I confirm that I am not a defaulter/expelled on any stock exchange.
5. I confirm that I am “fit and proper” under SEBI (Intermediaries) Regulations, 2008 and no action has been taken against me by SEBI, RBI, etc. and I have not defaulted in payment to any agency.

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_

Place: \_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**\*Name, Signature & Seal**

\*Should be signed by Proprietor of the Authorised Person.